



Modern Slavery Statement

Quantum Advisor's Statement on Modern Slavery is adopted and published voluntarily and is based on guidance taken from the Modern Slavery Act 2015 of UK ("Act").



About us

Quantum Advisors Private Limited (the Firm) is an Investment Management company based in India. It is registered as a 'Portfolio Manager' with the Securities and Exchange Board of India (SEBI), as an 'Investment Adviser' with the U.S. Securities and Exchange Commission (SEC) and as a 'Restricted Portfolio Manager' in the Canadian provinces of British Columbia, Quebec and Ontario. The Firm adheres to certain values; transparency, simplicity and doing business in an ethical manner. It immensely values its human resource, the core strength of its business that allows the Firm to follow customer-centric culture.

Quantum Advisor's has headquarters in Mumbai - India and has affiliate entities in Mauritius, USA and London with more than 200 employees working across the world. As a responsible business, the Firm believes that success includes embracing actions across all dimensions of performance, that is, environment, social, and governance. The Firm is adhering to many important policies and taking initiatives on sustainable agenda, deeper insights of which are available on the website.

It has implemented policies and processes to reflect our zero-tolerance approach toward modern slavery and human trafficking:

Slavery and Human Trafficking

At Quantum Advisors, we are always committed to conduct our business ethically and always behave responsibly and pay fairly for the work done.

We are committed to:

- prevention of modern slavery and human trafficking in all of its forms.
- we enforce effective systems and controls which are designed to address any underlying risks of slavery, child labour, human trafficking, servitude, forced or compulsory labour, debt bondage and deceptive recruiting for labour or services

Compliance

The main resources of Quantum Advisors are its employees working at all levels including but not limited to the directors, permanent employees, agency workers, external consultants, external contractors, third-party vendors, business partners, and those employed on a full-time, part-time, temporary, contractual or any other basis.

The Firm is committed to safeguarding the principles set out in the UK Modern Slavery Act of 2015 against modern slavery and human trafficking. In a bid to prevent people from being treated as commodities and to maintain human dignity, the Firm is committed to maintaining transparency in its business practices and working conditions. It shall ensure that its hiring and remunerative practices are continually compliant with the relevant legislative requirements.



The senior management of the Firm ensures the implementation and enforcement of this policy statement. Quantum shall provide sufficient resources, impart internal training and formulate appropriate processes to ascertain that slavery or human trafficking is not taking place within the organization. It shall encourage its employees to put forth their queries or concerns in this regard to the management who shall promptly address the same. The senior management shall also be responsible for the fulfilment of the legal and ethical requirements in relation to this policy statement.

This policy statement has been approved by the senior management of the Firm and shall be reviewed and updated annually.

Our Staff

We have various measures in place at our workplace to ensure a high level of understanding of the risks of modern slavery and human trafficking in our supply chains and our business.

- The Firm follows the Charter of Principles
- All staff members including new joiners are provided with our Compliance Manual which contains our policies and procedures, that provide guidance and details of procedures in the case of any perceived mistreatment.

Our Future Steps

We will continue to monitor the effectiveness of our existing processes and procedures to prevent any form of modern slavery, and human trafficking in our business supply chains, and where possible take necessary steps to ensure our policies remain appropriate.

This statement is made by taking guidance from the Modern Slavery Act 2015 of UK.