

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)

Type of the Company	Category of the Company	Sub-category of the Company
Private Company	Company limited by shares	Indian Non-Government company
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K6	Fund Management Services	93.82
2	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	0.24

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	QUANTUM ASSET MANAGEME	U65990MH2005PTC156152	Subsidiary	100
2	QUANTUM TRUSTEE COMPAN	U67190MH2005PTC156119	Subsidiary	100
3	PRIMARY REAL ESTATE ADVISC	U70100MH2001PTC134242	Associate	40
4	Quantum India (Mauritius) Lim		Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	12,810,000	11,220,468	11,220,468	11,220,468
Total amount of equity shares (in Rupees)	128,100,000	112,204,680	112,204,680	112,204,680

Number of classes

2

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity shares				
Number of equity shares	6,906,000	5,700,000	5,700,000	5,700,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	69,060,000	57,000,000	57,000,000	57,000,000
Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Class A Equity shares				
Number of equity shares	5,904,000	5,520,468	5,520,468	5,520,468
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	59,040,000	55,204,680	55,204,680	55,204,680

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	3,908,718	7,311,750	11220468	112,204,680	112,204,680	

Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	3,908,718	7,311,750	11220468	112,204,680	112,204,680	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="27/08/2021"/>		
Date of registration of transfer (Date Month Year)	<input type="text" value="25/06/2021"/>		
Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text" value="3,000"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>

Ledger Folio of Transferor		64	
Transferor's Name	More		Soniya
	Surname	middle name	first name
Ledger Folio of Transferee		08	
Transferee's Name	Anil Harish I V Subramaniam	Murali Krishnan	Piyush Thakkar
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	27/08/2021
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	2,004	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor		51	
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Transferor's Name	Shinge		Shalaka
	Surname	middle name	first name

Ledger Folio of Transferee		08	
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Transferee's Name	Anil Harish I V Subramaniam	Murali Krishnan	Piyush Thakkar
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	26/11/2021
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	2,004	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor		61	
Transferor's Name	Sawant		Girish
	Surname	middle name	first name
Ledger Folio of Transferee		08	
Transferee's Name	Anil Harish I V Subramaniam	Murali Krishnan	Piyush Thakkar
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		11/03/2022	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
	Number of Shares/ Debentures/ Units Transferred	2,004	Amount per Share/ Debenture/Unit (in Rs.) 10

Ledger Folio of Transferor		63	
Transferor's Name	Baid		Vivek
	Surname	middle name	first name
Ledger Folio of Transferee		08	
Transferee's Name	Anil Harish I V Subramaniam	Murali Krishnan	Piyush Thakkar
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
	Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)

Ledger Folio of Transferor		<input type="text"/>		
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	
	Surname	middle name	first name	
Ledger Folio of Transferee		<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	
	Surname	middle name	first name	

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

740,613,044

(ii) Net worth of the Company

2,741,187,147

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	3,924,090	34.97	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	3,924,090	34.97	0	0

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	1,056,210	9.41	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	5,520,468	49.2	0	
10.	Others Trust	719,700	6.41	0	
	Total	7,296,378	65.02	0	0

Total number of shareholders (other than promoters)

42

**Total number of shareholders (Promoters+Public/
Other than promoters)**

43

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	46	42
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	1	5	1	6	3.87	0
(i) Non-Independent	1	5	1	6	3.87	0
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	1	6	3.87	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
I. V. Subramaniam	00253917	Managing Director	433,710	
Ajay Nanavati Vipin	02370729	Director	0	
Marsha Joan Vande Bel	06910328	Director	0	
Hormazdiyaar Vakil	00060835	Director	0	
Praveen Kadle	00016814	Director	0	
Nalini Kak	08020486	Director	0	
Natasha Cupps	09359375	Additional director	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

5

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Nalini Kak	08020486	Additional director	25/06/2021	Appointment
Nalini Kak	08020486	Director	27/08/2021	Change in designation
Praveen Kadle	00016814	Director	27/08/2021	Change in designation
William Geoffery Stein	00181048	Director	28/08/2021	Cessation
Natasha Cupps	09359375	Additional director	26/11/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	27/08/2021	47	42	99.7

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	25/06/2021	7	7	100
2	27/08/2021	7	7	100
3	26/11/2021	7	7	100
4	11/03/2022	7	6	85.71

C. COMMITTEE MEETINGS

Number of meetings held

4

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	CSR	24/06/2021	2	2	100
2	CSR	26/08/2021	2	2	100
3	CSR	25/11/2021	2	2	100
4	CSR	10/03/2022	2	2	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	I. V. Subramaniam	4	4	100	4	4	100	Yes
2	Ajay Nanavati	4	4	100	0	0	0	Yes
3	Marsha Joan V	4	4	100	0	0	0	No
4	Hormazdiyaar	4	4	100	4	4	100	Yes
5	Praveen Kadle	4	4	100	0	0	0	Yes
6	Nalini Kak	4	4	100	0	0	0	Yes
7	Natasha Cupp	2	1	50	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	I. V. Subramaniam	Managing Director	9,839,307	0	0	0	9,839,307
	Total		9,839,307	0	0	0	0

Number of CEO, CFO and Company secretary whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
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S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ajay Nanavati Vipin	Director	0	0	0	1,370,684	1,370,684
2	Marsha Joan Vande	Director	0	0	0	1,561,932	1,561,932
3	Hormazdiyaar Vakil	Director	0	0	0	1,561,932	1,561,932
4	Praveen Kadle	Director	0	0	0	868,466	868,466
5	Nalini Kak	Director	0	0	0	1,029,714	1,029,714
6	Natasha Cupps	Director	0	0	0	150,624	150,624
7	William Geoffery	Director	0	0	0	818,188	818,188
	Total		0	0	0	7,361,540	0

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
 (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
 (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director 

DIN of the director

To be digitally signed by 

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

2022-03-31- List of Shareholders.pdf
QAPL_MGT-8_2021-22_Final.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

QUANTUM ADVISORS PRIVATE LIMITED						
Shareholding Pattern for Equity Shares as on March 31, 2022						
Sr No.	Name of the Shareholder	Folio Number	Amount per Share (INR)	Total Number of Shares	Total Value of Shares (INR)	% of Shareholding
1	Ajit Dayal	1	10	3924090	39240900	34.97
2	Anil Harish	2	10	120	1200	0.00
3	Pramod Dayal	4	10	12	120	0.00
4	I. V. Subramaniam, Anil Harish, Murali Krishnan and Piyush Thakkar (Jointly held on behalf of Quantum Advisors ESOP Trust)	8	10	728712	7287120	6.49
5	I. V. Subramaniam	9	10	433710	4337100	3.87
6	Komalam Pillai	10	10	12000	120000	0.11
7	Don Thadeuse	11	10	21600	216000	0.19
8	Dharmapala Poojary	15	10	4002	40020	0.04
9	Hitendra Parekh	16	10	60000	600000	0.53
10	Sameer Shroff	17	10	2004	20040	0.02
11	Amrit Gaba	18	10	12000	120000	0.11
12	Janish Shah	20	10	75708	757080	0.67
13	Piyush Singh	25	10	16002	160020	0.14
14	Raju Paniampadam	26	10	804	8040	0.01
15	Suresh Jalish	27	10	2004	20040	0.02
16	Tehsin Lakkadghat	29	10	6000	60000	0.05
17	Aditya Srinath	32	10	12000	120000	0.11
18	Anil Dhuri	33	10	804	8040	0.01
19	Chirag Mehta	34	10	36000	360000	0.32
20	Chandrasen Manjrekar	35	10	2802	28020	0.02
21	Darshini Shah	36	10	2004	20040	0.02
22	Hitesh Kaniska	39	10	3000	30000	0.03
23	Imran Sayed	40	10	2004	20040	0.02
24	Krishnendu Saha	41	10	10800	108000	0.10
25	Malay Vora	42	10	12000	120000	0.11
26	Millet Bobin	43	10	2850	28500	0.03
27	Neeraj Kotian	44	10	2802	28020	0.02
28	Nilesh Shetty	45	10	39600	396000	0.35
29	Rajendra Thakkar	48	10	16002	160020	0.14
30	Sandeep Shinde	49	10	3000	30000	0.03
31	Santosh Das	50	10	804	8040	0.01
32	Yogesh Deorukhkar	55	10	2802	28020	0.02
33	Arvind Chari	56	10	60000	600000	0.53
34	Kashif Sundarani	57	10	1338	13380	0.01
35	Piyush Thakkar	60	10	34704	347040	0.31
36	Jimmy Patel	66	10	44700	447000	0.40
37	Natraj Sankaranarayanan	67	10	101400	1014000	0.90
38	Saurabh Anant Wanjare	71	10	804	8040	0.01
39	Sunita Karna	73	10	12	120	0.00
40	Afrid Wadia	74	10	3000	30000	0.03
41	Meera Shetty	75	10	3000	30000	0.03
42	HWIC Asia Fund - Class Q Shares	76	10	5520468	55204680	49.20
43	Rajendra Gadiyar	77	10	3000	30000	0.03
	Total			11220468	112204680	100

SPRS And Co. LLP

COMPANY SECRETARIES

A - 210, Kanara Business Centre, Laxmi Nagar, Ghatkopar - Andheri Link Road, Ghatkopar (East), Mumbai - 400 075
Phone: 022 2500 1501/ 02/ 05 Email: compliance@sprscs.com Website: www.sprscs.com

FORM NO. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of **Quantum Advisors Private Limited** (“the Company”) as required to be maintained under the Companies Act, 2013 (“the Act”) and the rules made there under for the financial year ended on March 31, 2022. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, and considering the relaxations granted by the Ministry of Corporate Affairs warranted due to the spread of the Covid-19 pandemic, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. As per the Audit conducted, based on the records made available to us by the Company and the management representation during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act; (A Private Limited Company)
 2. Maintenance of registers/ records & making entries therein within the time prescribed therefor;
 3. Filing of forms and returns ~~as stated in the Annual Return~~, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court or other authorities~~ within the prescribed time;

(The Company was not required to file any forms or returns with the Regional Director, Central Government, Tribunal, Court or other authorities except with the Registrar of Companies during the aforesaid Financial Year)

4. Calling/ convening/ holding meetings of Board of Directors or its committees and the meetings of the members of the Company on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions ~~and resolutions passed by postal ballot, if any,~~ have been properly recorded in the Minute Book/ registers maintained for the purpose and the same have been signed;

5. Closure of Register of Members;

(The Company was not required to close the Register of Members during the aforesaid Financial Year)

6. Advances/ loans to its directors and/ or persons or firms or companies referred in section 185 of the Act;

(The Company has not made any advances / loans to its Directors and / or persons or firms or companies during the aforesaid Financial Year)

7. Contracts/ arrangements with related parties as specified in section 188 of the Act;

(During the period under review, the Company has entered into the Related Party Transaction with The Primary Real Estate Advisory Private Limited and Quantum Asset Management Company Private Private Limited in terms of Section 188 of the Act)

8. ~~Issue or allotment or Transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates after endorsement in all instances;~~

(There were no instance of issue and allotment or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities during the aforesaid Financial Year)

9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;

(There was no instance of keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares during the aforesaid Financial Year)

10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;

(The company has declared and paid INR 1.20 per share on the Equity Shares and Class A Equity Shares of INR 10/- each aggregating to INR 1,34,64,562)

(There were no instances of Declaration/ payment of dividend, transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act, during the aforesaid Financial Year)

11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. Constitution/ Appointment/~~re-appointments/~~ resignation/ retirement/~~filling up casual vacancies/~~ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;

(Mrs. Nalini Kak and Mrs. Natasha Cupps were appointed as an Additional Directors of the Company w.e.f. June 25, 2021 and November 26, 2021 respectively;

Mr. Praveen Purushottam Kadle and Mrs. Nalini Kak were regularized as the Director of the Company at the Annual General Meeting held on August 27, 2021;

Mr. Roshan Dave has resigned from the post of Company Secretary of the Company w.e.f. September 06, 2021;

Mr. William Geoffrey Stein has resigned from the Directorship of the Company w.e.f. August 28, 2021;

Ms. Hiral Kanani was appointed as a Company Secretary of the Company w.e.f. November 26, 2021)

13. Appointment/ Re-appointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;

(M/s. Deloitte Haskins and Sells, Chartered Accountants were appointed as a Statutory Auditor of the Company at the Annual General Meeting held on August 23, 2019, for a period of 5 (Five) years;

During the period under review, M/s. Deloitte Haskins & Sells, the aforesaid Chartered Accountants, appointed as Statutory Auditor Firm has converted to M/s. Deloitte Haskins & Sells LLP, Chartered Accountants as per the declaration and eligibility Certificated date June 25, 2021. The noting of the aforesaid declaration and eligibility Certificate has been taken by the Board of Directors of the Company at their meeting held on June 25, 2021)

14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;

(The Company was not required to take approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the Act during the aforesaid Financial Year)

15. Acceptance/ renewal/ repayment of deposits;

(The Company did not accept any deposit during the aforesaid Financial Year and hence was not required to comply with the relevant provisions under the Act)

16. Borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable;

(The Company has not borrowed any money from its Directors, Members, Public Financial Institutions, banks and others during the aforesaid Financial Year and hence compliance of the relevant provisions under the Act was not applicable to the company)

17. Loans and Investments ~~or guarantees given or providing of securities~~ in other bodies corporate or persons falling under the provisions of section 186 of the Act;

(The Company did not provide any guarantees and/ or securities under the provisions of Section 186 of the Act, during the aforesaid Financial Year)

18. Alteration of the provisions of the Memorandum and/or Articles of Association of the Company;

(There were no alterations of the Memorandum and / or Articles of Association of the Company during the aforesaid Financial Year)

**For SPRS And Co. LLP,
Company Secretaries**

SANJAY PANDURAN
G PARAB

Digitally signed by
SANJAY PANDURAN
PARAB
Date: 2022.10.20
19:58:39 +05'30'

**Sanjay P. Parab
Designated Partner
C.P. No. 7093 FCS: 6613
UDIN: F006613D001307871**

Date: October 20, 2022

Place: Mumbai