



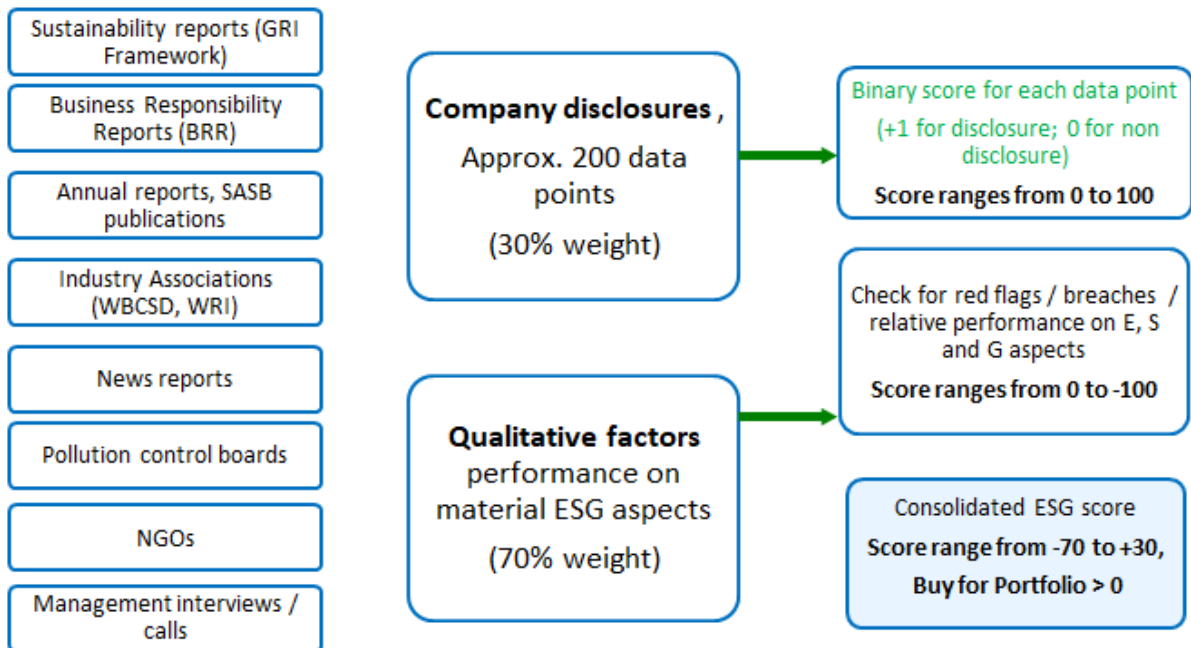
Governance - since 1996

- Since 1996, we applied our “Integrity Screen” on Indian companies— now known as “Governance”.
- Quantum’s Integrity Screen has helped sift out companies with questionable business and governance practices.
- Prior to investing in a company, the research and portfolio team analyses Corporate Governance (the Board and Key Management Personnel), historical track record in protecting minority shareholder interest, business practices and care for the community (other stakeholders).
- We do not invest in companies if they fail our Integrity Screen checks - even if valuations are attractive and/or these stocks are market favourites.

Proprietary ESG Database

- When we asked external agencies for sample ESG ratings of some of well-known Indian companies, we realized that attention was paid more to quantitative disclosure than qualitative assessment of the disclosures. The methodology lacked rigor. Overall ratings were suspect.
- Quantum started building an internal ESG Team in 2015.
- Screening based on international ESG standards (UN Global Compact, PRI) and incorporating our learnings from assessing corporate behavior in India since 1990.
- Our process has evolved over last few years, as we travel up the learning curve and established a principles-based, qualitative-oriented, ESG framework.

Data Sources → **ESG Score:** → **Scoring system**
Blended approach



Team:

Quantum Advisors ESG Investment Team efforts are led by Ajit Dayal (Founder, with over 30 years of industry experience) and supported by Chirag Mehta (Sr. Fund Manager, with 16 years of industry experience).

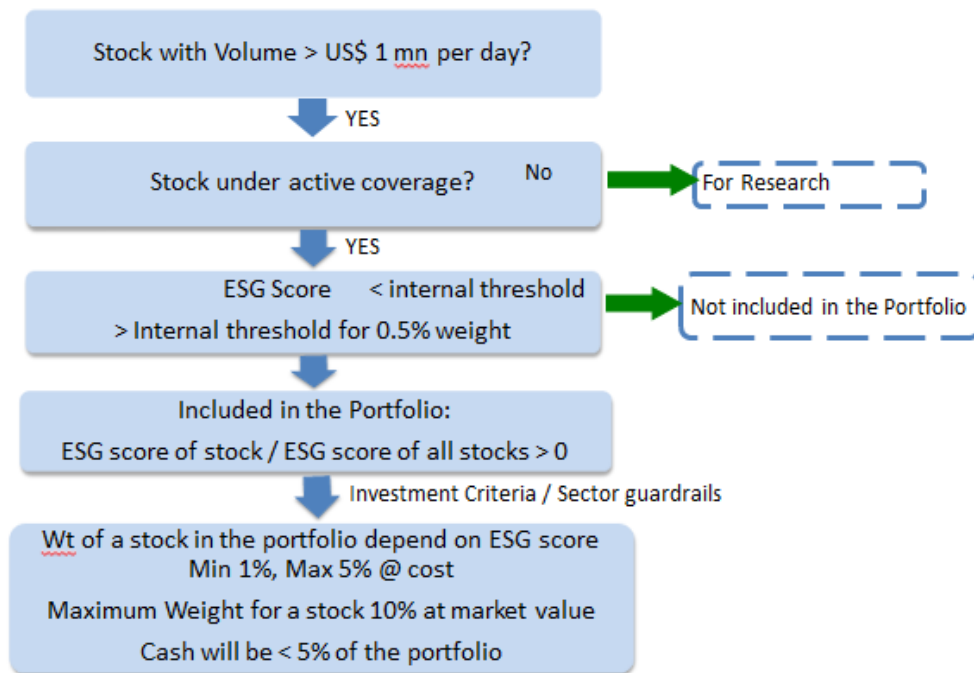
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Investment Objective: The Q India Responsible Returns Strategy is a valuation agnostic portfolio built on the principles of Governance and Sustainability. The objective is to build a diversified portfolio using Quantum’s proprietary ESG scores to determine weights, subject to sector guardrails. The portfolio typically owns 45 to 60 publicly listed stocks — each of which trade an average of USD 1 mn per day over the past 1 year, with the aim to have a liquid portfolio. The strategy endeavors to remain fully invested in the robust ESG compliant companies and cash will generally be less than 5% of the portfolio.

AuM*, as of Jan 31st 2021	US\$ 7.7 m
Capacity	US\$ 3 bn
Min. Mandate	US\$ 1 m
Fee Structures	0.6% up to US\$ 50 mn, then declining. Variable fees: we work with many clients on a combination of fixed and variable fee
Mandate Structure	Separately Managed Accounts

Portfolio Construction, using our Proprietary ESG database



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*denotes AuM of Quantum India ESG Equity Fund, a fund under Quantum Mutual Fund managed by Quantum Asset Management Company Private Limited, our 100% subsidiary. Refer Page 3 for detailed disclosure.

Quantum Asset Management Company Related Disclaimer

Quantum India ESG Equity Fund (QIESG) is a fund launched in July 2019 under the Quantum Mutual Fund (QMF), an India domiciled public retail mutual fund. QMF is registered with Securities and Exchange Board of India (SEBI). Quantum Asset Management Company Pvt Ltd (QAMC), 100% subsidiary of Quantum Advisors Pvt. Ltd. (QAPL), is the Investment Manager of QMF.

QAMC provides research/advisory service to QAPL (our “Affiliated Adviser”). The information on QIESG is provided for illustration and information purpose only, as QAMC follows a similar investment strategy, process and philosophy for QIESG as that offered by QAPL. We receive non-binding and non-discretionary research/advisory services our “Affiliated Adviser” with respect to investments by our Private Account clients (including Fund clients). Our evaluation of our Affiliated Advisers’ qualifications, suitability and performance as research/advisory services providers involve inherent conflicts of interest that would not be present if we were instead evaluating independent. This is neither an offer to sell nor a solicitation of an offer to buy any securities of the funds.

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- Investors wishing to ‘double their money’ in one year or having short-term return objectives should not seek the advice of QAPL as the research and investment style followed by QAPL typically considers a longer-term time horizon.*
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